

**COEFFICIENT BOUNDS AND
CONVOLUTION PROPERTIES FOR
CERTAIN CLASSES OF
CLOSE-TO-CONVEX FUNCTIONS**

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ABSTRACT. In this paper, we consider the class $\mathcal{C}(\varphi, \psi)$ of normalized close-to-convex functions which is defined by using subordination for analytic functions φ and ψ on the unit disk. Our main object is to provide bounds of the quantity $a_3 - \mu a_2^2$ for functions $f(z) = z + a_2 z^2 + a_3 z^3 + \dots$ in $\mathcal{C}(\varphi, \psi)$ in terms of φ and ψ , where μ is a real constant. We also show that the class $\mathcal{C}(\varphi, \psi)$ is closed under the convolution operation by convex functions, or starlike functions of order $1/2$ when φ and ψ satisfy some mild conditions.

1. INTRODUCTION

Let \mathcal{A} denote the class of functions of the form

$$f(z) = z + \sum_{n=2}^{\infty} a_n z^n,$$

which are analytic in the open unit disk $\mathbb{D} = \{z \in \mathbb{C} : |z| < 1\}$. Also let \mathcal{S} , $\mathcal{S}^*(\alpha)$ and $\mathcal{K}(\alpha)$ denote the subclasses of \mathcal{A} consisting of functions which are univalent, starlike of order α and convex of order α in \mathbb{D} . In particular, the classes $\mathcal{S}^*(0) = \mathcal{S}^*$ and $\mathcal{K}(0) = \mathcal{K}$ are the familiar ones of starlike and convex functions in \mathbb{D} , respectively. For analytic functions g and h with $g(0) = h(0)$, g is said to be subordinate to h if there exists an analytic function ω on \mathbb{D} such

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that $\omega(0) = 0$, $|\omega(z)| < 1$ and $g(z) = h(\omega(z))$ for $z \in \mathbb{D}$. The subordination will be denoted by

$$g \prec h \quad \text{or} \quad g(z) \prec h(z) \quad \text{in } \mathbb{D}.$$

Note that $g \prec h$ if and only if $g(0) = h(0)$ and $g(\mathbb{D}) \subset h(\mathbb{D})$ when h is univalent in \mathbb{D} .

Let \mathcal{M} be the class of analytic functions φ in \mathbb{D} normalized by $\varphi(0) = 1$, and let \mathcal{N} be the subclass of \mathcal{M} consisting of those functions φ which are univalent in \mathbb{D} and for which $\varphi(\mathbb{D})$ is convex. Also, for a constant $\alpha \geq 0$, set $\mathcal{N}(\alpha) = \{\varphi \in \mathcal{N} : \operatorname{Re} \varphi > \alpha\}$.

Ma and Minda [6] and the authors [3] defined the subclasses $\mathcal{K}(\varphi)$, $\mathcal{S}^*(\varphi)$ and $\mathcal{C}(\varphi, \psi)$ of \mathcal{A} by

$$\begin{aligned} \mathcal{K}(\varphi) &= \left\{ f \in \mathcal{A} : 1 + \frac{zf''(z)}{f'(z)} \prec \varphi(z) \text{ in } \mathbb{D} \right\}, \\ (1.1) \quad \mathcal{S}^*(\varphi) &= \left\{ f \in \mathcal{A} : \frac{zf'(z)}{f(z)} \prec \varphi(z) \text{ in } \mathbb{D} \right\}, \end{aligned}$$

and

$$\mathcal{C}(\varphi, \psi) = \left\{ f \in \mathcal{A} : \exists h \in \mathcal{K}(\varphi) \text{ s.t. } \frac{f'(z)}{h'(z)} \prec \psi(z) \text{ in } \mathbb{D} \right\}$$

for $\varphi, \psi \in \mathcal{M}$. Note that $f \in \mathcal{K}(\varphi)$ if and only if $zf' \in \mathcal{S}^*(\varphi)$. Hence $f \in \mathcal{C}(\varphi, \psi)$ if and only if

$$(1.2) \quad \begin{aligned} &\exists g \in \mathcal{S}^*(\varphi) \text{ such that } zf'(z)/g(z) \prec \psi(z) \text{ in } \mathbb{D}. \end{aligned}$$

For functions $\varphi, \psi \in \mathcal{M}$, if φ and $e^{-i\beta}\psi$ have positive real part in \mathbb{D} , where β is some constant in $(-\pi/2, \pi/2)$, then the class $\mathcal{C}(\varphi, \psi)$ is obviously a subclass of close-to-convex functions, in particular, consists of univalent functions in \mathbb{D} . Now we recall that if $f \in \mathcal{A}$ satisfies

$$(1.3) \quad \left| \arg \frac{zf'(z)}{f(z)} \right| < \frac{\pi}{2} \quad (z \in \mathbb{D})$$

for a constant α ($0 < \alpha \leq 1$), then $f(z)$ is said to be *strongly starlike of order α* in \mathbb{D} , and we write $f \in \mathcal{S}_\alpha^*$. If we set $\varphi_\alpha(z) = ((1+z)/(1-z))^\alpha$ ($0 < \alpha \leq 1$), then, from (1.1) and (1.3), we can easily see the inclusion

$$(1.4) \quad \mathcal{S}_\alpha^* = \mathcal{S}^*(\varphi_\alpha) \subset \mathcal{C}(\varphi_\alpha, \varphi_\alpha).$$

For constants $\beta \in (-\pi/2, \pi/2)$ and γ with $0 \leq \gamma < \cos \beta$, we set

$$\psi_{\beta, \gamma}(z) = \frac{1 + (e^{i\beta} - 2\gamma)e^{i\beta}z}{1 - z}.$$

The function $\psi_{\beta, \gamma}$ maps the unit disk onto the half-plane $\{z : \operatorname{Re}(e^{-i\beta}z) > \gamma\}$. Note that $\mathcal{S}^*(\alpha) \equiv \mathcal{S}^*(\psi_{0, \alpha})$ and $\mathcal{K}(\alpha) \equiv \mathcal{K}(\psi_{0, \alpha})$ for $0 \leq \alpha < 1$. Note

also that a function in $\mathcal{S}^*(\psi_{\beta,0})$ is usually called β -spirallike. We set

$$(1.5) \quad \mathcal{C}_{\alpha,\gamma} = \bigcup_{|\beta| < \arccos \gamma} \mathcal{C}(\psi_{0,\alpha}, \psi_{\beta,\gamma})$$

for $0 \leq \alpha < 1$ and $0 \leq \gamma < 1$. A function in $\mathcal{C}_{\alpha,\gamma}$ is called *close-to-convex of order* (γ, α) (cf. [2, II, p.89]). In particular, $\mathcal{C} \equiv \mathcal{C}_{0,0}$ is the class of usual close-to-convex functions.

In [3], the second and third authors investigated the norm estimate of the pre-Schwarzian derivatives for the class $\mathcal{C}(\varphi, \psi)$. In this paper, we shall investigate the coefficient bounds of the class $\mathcal{C}(\varphi, \psi)$ and also give convolution properties of functions in $\mathcal{C}(\varphi, \psi)$. Here, the convolution or the Hadamard product $f * g$ of two analytic functions

$$f(z) = \sum_{n=0}^{\infty} a_n z^n \quad \text{and} \quad g(z) = \sum_{n=0}^{\infty} b_n z^n$$

on \mathbb{D} is defined by

$$(f * g)(z) = f(z) * g(z) = \sum_{n=0}^{\infty} a_n b_n z^n.$$

2. PRELIMINARY RESULTS

The following lemmas will be required in our investigation.

Lemma 2.1. *Assume that $\eta(z) = e_1 + e_2 z + \dots$ is analytic in \mathbb{D} with $|\eta(z)| \leq 1$. Then $|e_1|^2 + |e_2| \leq 1$.*

Proof. By Schwarz-Pick's Lemma, we obtain

$$\frac{|\eta'(z)|}{1 - |\eta(z)|^2} \leq \frac{1}{1 - |z|^2},$$

so that $|\eta(0)|^2 + |\eta'(0)| \leq 1$. Hence $|e_1|^2 + |e_2| \leq 1$. \square

Lemma 2.2 (Ma and Minda [6]). *Let $\varphi(z) = 1 + A_1 z + A_2 z^2 + \dots$ be univalent in \mathbb{D} and let $\varphi(\mathbb{D})$ be symmetric with respect to the real axis with $\varphi'(0) > 0$. If $f(z) = z + a_2 z^2 + a_3 z^3 + \dots \in \mathcal{K}(\varphi)$, then $|a_3 - \mu a_2^2| \leq K(\mu, A_1, A_2)$, where*

$$(2.1) \quad K(\mu, A_1, A_2) = \begin{cases} (A_2 - \frac{3\mu}{2} A_1^2 + A_1^2)/6 \\ \quad \text{if } 3A_1^2 \mu \leq 2(A_2 + A_1^2 - A_1), \\ A_1/6 \\ \quad \text{if } 2(A_2 + A_1^2 - A_1) \leq 3A_1^2 \mu \leq 2(A_2 + A_1^2 + A_1), \\ (\frac{3\mu}{2} A_1^2 - A_1^2 - A_2)/6 \\ \quad \text{if } 2(A_2 + A_1^2 + A_1) \leq 3A_1^2 \mu. \end{cases}$$

Lemma 2.3 (Ruscheweyh and Sheil-Small [8]). *Suppose either $g \in \mathcal{K}$, $h \in \mathcal{S}^*$ or else $g, h \in \mathcal{S}^*(1/2)$. Then for any analytic function G in \mathbb{D} , we have*

$$\frac{(g * hG)(z)}{(g * h)(z)} \in \overline{\text{co}}G(\mathbb{D}) \quad (z \in \mathbb{D}),$$

where $\overline{\text{co}}G(\mathbb{D})$ is the closed convex hull of $G(\mathbb{D})$.

3. MAIN RESULTS

We begin by proving

Theorem 3.1. *Let $\varphi(z) = 1 + A_1 z + A_2 z^2 + \dots$ be univalent in \mathbb{D} , $\varphi(\mathbb{D})$ be symmetric with respect to the real axis with $\varphi'(0) > 0$, and let $\psi(z) = 1 + B_1 z + B_2 z^2 + \dots$ be analytic in \mathbb{D} . If $f(z) = z + a_2 z^2 + a_3 z^3 + \dots \in \mathcal{C}(\varphi, \psi)$, then*

$$|a_3 - \mu a_2^2| \leq K(\mu, A_1, A_2) + M(\mu, A_1, B_1, B_2),$$

where $K(\mu, A_1, A_2)$ is given by (2.1) and

$$M(\mu, A_1, B_1, B_2) = \begin{cases} \frac{1}{3} (|B_2 - \frac{3\mu}{4} B_1^2| + A_1 |B_1| |1 - \frac{3\mu}{2}|) \\ \quad \text{if } A_1 |B_1| |1 - \frac{3\mu}{2}| \geq 2(|B_1| - |B_2 - \frac{3\mu}{4} B_1^2|), \\ \frac{|B_1|}{3} + \frac{(A_1 |B_1| |1 - \frac{3\mu}{2}|)^2}{12(|B_1| - |B_2 - \frac{3\mu}{4} B_1^2|)} \quad \text{otherwise.} \end{cases}$$

Proof. If $f \in \mathcal{C}(\varphi, \psi)$, from the definition of the class $\mathcal{C}(\varphi, \psi)$ there exists a function $h \in \mathcal{K}(\varphi)$ such that $f'/h' \prec \psi$. We set

$$h(z) = z + d_2 z^2 + d_3 z^3 + \dots$$

and

$$(3.1) \quad g(z) = \frac{f'(z)}{h'(z)} = 1 + b_1 z + b_2 z^2 + \dots = \psi(\omega(z)),$$

where ω is an analytic function on \mathbb{D} such that $|\omega(z)| \leq |z|$ for $z \in \mathbb{D}$. Then a simple calculation shows $b_1 = 2(a_2 - d_2)$ and $b_2 = 3(a_3 - d_3) - 4d_2(a_2 - d_2)$, so that $a_2 = b_1/2 + d_2$ and $a_3 = d_3 + b_2/3 + (2/3)b_1 d_2$. Thus we have

$$(3.2) \quad a_3 - \mu a_2^2 = (d_3 - \mu d_2^2) + \frac{1}{3} \left(b_2 - \frac{3\mu}{4} b_1^2 \right) + \left(\frac{2}{3} - \mu \right) b_1 d_2.$$

By Lemma 2.2, we have

$$(3.3) \quad |d_3 - \mu d_2^2| \leq K(\mu, A_1, A_2).$$

We write $\omega(z) = e_1 z + e_2 z^2 + \dots$. Then, from (3.1) we have $b_1 = B_1 e_1$ and $b_2 = B_1 e_2 + B_2 e_1^2$. Since

$1 + \frac{zh''(z)}{h'(z)} \prec \varphi(z)$ in \mathbb{D} , Rogosinski's result [7] implies $|d_2| \leq \frac{1}{2}A_1$. Therefore, we get

$$\begin{aligned} & \left| \frac{1}{3} \left(b_2 - \frac{3\mu}{4}b_1^2 \right) + \left(\frac{2}{3} - \mu \right) b_1 d_2 \right| \\ & \leq \frac{|B_1|}{3} |e_2| + \frac{1}{3} \left| B_2 - \frac{3\mu}{4}B_1^2 \right| |e_1|^2 \\ & \quad + \left| \frac{2}{3} - \mu \right| |d_2 B_1| |e_1| \\ & \leq \frac{|B_1|}{3} |e_2| + \frac{1}{3} \left| B_2 - \frac{3\mu}{4}B_1^2 \right| |e_1|^2 \\ & \quad + \left| \frac{1}{3} - \frac{\mu}{2} \right| A_1 |B_1| |e_1|. \end{aligned}$$

Taking $\eta(z) = \omega(z)/z$ in Lemma 2.1, we obtain $|e_2| \leq 1 - |e_1|^2$, so that

$$\left| \frac{1}{3} \left(b_2 - \frac{3\mu}{4}b_1^2 \right) + \left(\frac{2}{3} - \mu \right) b_1 d_2 \right| \leq P(|e_1|),$$

where $P(x) = ax^2 + bx + c$ and $a = \frac{1}{3}(|B_2 - \frac{3\mu}{4}B_1^2| - |B_1|)$, $b = A_1|B_1|\left|\frac{1}{3} - \frac{\mu}{2}\right|$ and $c = |B_1|/3$. Since $b \geq 0$ and $0 \leq |e_1| \leq 1$, we have

$$P(|e_1|) \leq \begin{cases} P(-b/2a) = c - b^2/4a \\ \text{if } a < 0 \text{ and } -b/2a < 1, \\ P(1) = a + b + c & \text{otherwise.} \end{cases}$$

Thus we conclude

$$(3.4) \quad \left| \frac{1}{3} \left(b_2 - \frac{3\mu}{4}b_1^2 \right) + \left(\frac{2}{3} - \mu \right) b_1 d_2 \right| \leq M(\mu, A_1, B_1, B_2).$$

Hence, making use of (3.3) and (3.4) in equality (3.2), we obtain the desired result. \square

Corollary 3.2. *If $f(z) = z + a_2z^2 + a_3z^3 + \dots \in \mathcal{C}(\psi_{0,0}, \psi_{0,0})$, then*

$$|a_3 - \mu a_2^2| \leq \begin{cases} 3 - 4\mu & \text{if } \mu \leq 1/3, \\ 1/3 + 4/9\mu & \text{if } 1/3 \leq \mu \leq 2/3, \\ (16 - 21\mu + 9\mu^2)/3(4 - 3\mu) & \\ & \text{if } 2/3 \leq \mu \leq 1 \\ 3\mu - 5/3 & \text{if } 1 \leq \mu \leq 4/3, \\ 4\mu - 3 & \text{if } 4/3 \leq \mu. \end{cases}$$

Remark . From (1.5) it is clear that $\mathcal{C}(\psi_{0,0}, \psi_{0,0}) \subset \mathcal{C}$. For the cases of $0 \leq \mu \leq 1/3$ and $1/3 \leq \mu \leq 2/3$, the above estimates agree with those of Koepf [4].

If we take $\varphi = \psi = \varphi_\alpha = z + 2\alpha z^2 + 2\alpha^2 z^3 + \dots$ in Theorem 3.1, we obtain

Corollary 3.3. *If $f(z) = z + a_2z^2 + a_3z^3 + \dots \in \mathcal{C}(\varphi_\alpha, \varphi_\alpha)$, then*

$$|a_3 - \mu a_2^2| \leq \begin{cases} (3 - 4\mu)\alpha^2 & \text{if } 3\alpha\mu \leq 2\alpha - 1 \\ (1 - \mu)\alpha^2 + \frac{\alpha}{3} \left\{ 2 + \frac{(2 - 3\mu)^2 \alpha^2}{2 - (2 - 3\mu)\alpha} \right\} & \\ & \text{if } 2\alpha - 1 \leq 3\alpha\mu \leq 3\alpha - 1 \\ \alpha \left\{ 1 + \frac{(2 - 3\mu)^2 \alpha^2}{3(2 - 2\alpha + 3\alpha\mu)} \right\} & \\ & \text{if } 3\alpha - 1 \leq 3\alpha\mu \leq 2\alpha \\ \alpha \left\{ 1 + \frac{(2 - 3\mu)^2 \alpha^2}{3(2 - 3\alpha\mu + 2\alpha)} \right\} & \\ & \text{if } 2\alpha \leq 3\alpha\mu \leq 2\alpha + 1 \\ (3\mu - 2)\alpha^2 + \alpha/3 & \\ & \text{if } 2\alpha + 1 \leq 3\alpha\mu \leq 3\alpha + 1 \\ (4\mu - 3)\alpha^2 & \text{if } 3\alpha + 1 \leq 3\alpha\mu. \end{cases}$$

Noting the relation $S_\alpha^* \subset \mathcal{C}(\varphi_\alpha, \varphi_\alpha)$, we would have an estimate for strongly starlike functions of order α . When $3\alpha\mu \leq 2\alpha - 1$ or $3\alpha\mu \geq 3\alpha + 1$, that estimate incidentally coincides with the sharp estimate for strongly starlike functions of order α obtained previously by Ma and Minda [5].

Now, by using Lemma 2.3, we investigate convolution properties of functions in $\mathcal{C}(\varphi, \psi)$. First, we recall results due to Ma and Minda. The following form is slightly different from the original one, so we include its proof here.

Proposition 3.4 ([6]).

(a) *Let $\varphi \in \mathcal{N}(0)$. For $g \in \mathcal{K}$ and $h \in \mathcal{S}^*(\varphi)$, we have $g * h \in \mathcal{S}^*(\varphi)$.*

(b) *Let $\varphi \in \mathcal{N}(1/2)$. For $g \in \mathcal{S}^*(1/2)$ and $h \in \mathcal{S}^*(\varphi)$, we have $g * h \in \mathcal{S}^*(\varphi)$.*

Proof. First, we prove (a). Set $G = zh'/h \prec \varphi$. Since $z(g * h)' = g * (zh') = g * (Gh)$, from Lemma 2.3, we see

$$\frac{z(g * h)'(z)}{(g * h)(z)} = \frac{(g * Gh)(z)}{(g * h)(z)} \in \overline{\text{co}G(\mathbb{D})} \subset \overline{\varphi(\mathbb{D})}.$$

Hence, we have $z(g * h)'/g * h \prec \varphi$. Assertion (b) can be shown similarly. \square

With the aid of the above result, we can now prove the following.

Theorem 3.5.

(a) *Let $\varphi \in \mathcal{N}(0)$ and $\psi \in \mathcal{N}$. Then, for $g \in \mathcal{K}$ and $f \in \mathcal{C}(\varphi, \psi)$, we have $g * f \in \mathcal{C}(\varphi, \psi)$.*

(b) *Let $\varphi \in \mathcal{N}(1/2)$ and $\psi \in \mathcal{N}$. Then, for $g \in \mathcal{S}^*(1/2)$ and $f \in \mathcal{C}(\varphi, \psi)$, we have $g * f \in \mathcal{C}(\varphi, \psi)$.*

Proof. We show only (a). We can handle (b) in the same fashion. Let $\varphi \in \mathcal{N}(0)$ and $\psi \in \mathcal{N}$. If $f \in \mathcal{C}(\varphi, \psi)$, there is a function $h \in \mathcal{S}^*(\varphi)$ such that $zf'/h \prec \psi$. Set $G(z) = zf'(z)/h(z)$. Then $G(\mathbb{D}) \subset \psi(\mathbb{D})$ and $z(g*f)' = g*(zf') = g*Gh$. Since $\psi(\mathbb{D})$ is convex and since $z(g*f)'/(g*h)$ is analytic, Lemma 2.3 implies that

$$\frac{z(g*f)'(z)}{(g*h)(z)} = \frac{(g*Gh)(z)}{(g*h)(z)}$$

lies in $\psi(\mathbb{D})$, in other words, $z(g*f)'/(g*h) \prec \psi$. Now Proposition 3.4 ensures $g*h \in \mathcal{S}^*(\varphi)$. Hence we find from definition (1.2) that $g*f \in \mathcal{C}(\varphi, \psi)$, which completes the proof of Theorem 3.5. \square

Remark . If we apply the above theorem to the case $\varphi = \psi_{0,0}$ and $\psi = \psi_{\beta,0}$ for $|\beta| < \pi/2$, then Theorem 3.5 would immediately yield that $f*g \in \mathcal{C}$ for $f \in \mathcal{C}$ and $g \in \mathcal{K}$ (see [1, Theorem 8.7]).

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